

**TEXAS DEPARTMENT OF BANKING
REPORT OF PERMISSIBLE INVESTMENTS**

License No. _____

License Holder Name _____

Re: Texas Finance Code (TFC) Section 151.603(b)(2) and Texas Administrative Code (TAC) Section 33.23

The following is a report of permissible investments on hand as of **September 30, 2023**, covering outstanding money transmission obligations in the United States for which the license holder is liable for payment.

- A. TFC Section 151.308 Security (Surety Bond, Irrevocable Letter of Credit, or Deposit In Lieu of Bond) \$ _____
- B. TFC Section 151.309(b)(1) 40% of current receivables due from authorized delegates See TAC Section 33.23(c) \$ _____
- C. TFC Section 151.309(b)(2) Cash in Banks (including demand or interest bearing accounts, and certificates of deposit) \$ _____
- D. TFC Section 151.309(b)(3) Certificates of Deposit/debt obligations insured by an agency of the federal government \$ _____
- E. TFC Section 151.309(b)(4), (5), and (6) Bonds and obligations of or guaranteed by a state or the United States, and mutual funds \$ _____
- F. TFC Section 151.309(b)(7) Other Permissible Investments. See TAC Section 33.23(d) \$ _____
- G. TFC Section 151.309(c)(3) Bonds in states other than Texas. To receive credit for outstanding payment obligations covered by bonds in other states, attach Schedule B or similar listing. **(Do not include Texas in your list)** \$ _____
- H. Grand Total of Permissible Investments [A through G] \$ _____
- I. Average outstanding money transmission obligations in the United States only See TAC Section 33.23(b) and (e). Attach supporting documentation for amount shown. \$ _____
- J. Percentage of total permissible investments to outstanding money transmission obligations [H divided by I] _____ %
- K. Attach a copy of interim financial statement for period ending **September 30, 2023**.

Total Assets \$ _____

Net Worth \$ _____

No. of active locations in Texas as of September 30, 2023:

I certify that the statements and facts in this report represent true and correct information. I acknowledge that any untrue statement of material fact is a violation of TFC Chapter 151 and will subject me to the penalties contained therein.

Date Report Prepared _____

Signature _____

Print Name _____

Title _____

NOTE: This report must be prepared no later than **November 15, 2023**. Retain this report and all supporting documentation and attachments in your files. **DO NOT SUBMIT** this report to the Texas Department of Banking (Department) unless you are directed to do so by the Department.