



Charles G. Cooper  
Commissioner

## 2020 ANNUAL REPORT FOR TEXAS CURRENCY EXCHANGE LICENSE HOLDERS

**License Name:** \_\_\_\_\_

**License No.:** \_\_\_\_\_

1. **List any Trade Names (assumed names, d/b/a, etc.) used by the license holder, if applicable:**

\_\_\_\_\_

Provide a copy of the most current assumed name certificate(s) filed with the Secretary of State and/or County Clerk if not previously submitted to the Department.

Document Attached       Previously Provided

2. **Principal Business Office:**

Street Address: \_\_\_\_\_

City and State: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

3. **Address at which license holder keeps books and records:**

If same as question 2, checkbox and skip to question 4.

Street Address: \_\_\_\_\_

City and State: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

4. **Primary Contact Person:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Office phone: \_\_\_\_\_

Mobile phone: \_\_\_\_\_

E-Mail: \_\_\_\_\_

**5. Secondary Contact Person:**

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Title: \_\_\_\_\_  
Office phone: \_\_\_\_\_  
Mobile phone: \_\_\_\_\_  
E-Mail: \_\_\_\_\_

**6. Principals and Responsible Individuals:**

Identify all principals and responsible individuals of the license holder as defined by Section 151.002 of the Texas Finance Code.

Name	Title	Date Appointed/Elected

**7. Indicate the total number of locations in Texas:** \_\_\_\_\_

**8. Security**

Provide the most recent security information. Submit a copy of the **most recent** surety bond, irrevocable letter of credit, or deposit in lieu of bond, if not previously submitted to the Department. Be sure to include most current change riders (if applicable).

Document Attached       Previously Provided

Issuer: \_\_\_\_\_

Type: \_\_\_\_\_

Number: \_\_\_\_\_

Amount: \_\_\_\_\_

Expiration (if applicable): \_\_\_\_\_

**9. Regulatory Actions**

Has the license holder been subject to any regulatory and/or enforcement actions by any agency (including consent orders, fines and penalties paid, license suspension or revocation, etc.) since the previous Annual Report filing?

- Yes  No

If yes, separately provide a brief description and copies of applicable documents if not previously submitted to the Department.

- Document Attached  Previously Provided

**10. Litigation**

Has there been any litigation involving the license holder initiated since the previous Annual Report filing?

- Yes  No

If yes, separately provide a brief description and copies of applicable documents if not previously submitted to the Department.

- Documents attached  Previously provided  Not applicable

**11. Material Changes**

Have there been any material changes to the license holder's ownership, principals, business plan, products, or services since the previous Annual Report filing. If yes, separately provide a brief description and copies of applicable documents.

- Documents attached  Previously provided  Not applicable

**12. Sales Volume:**

Provide the sales volume of currency exchange business conducted from the period of **January 1, 2019 to December 31, 2019** for all locations, including non-traditional currency exchange, if applicable.

- a. Number of currency exchange transactions involving *sales* of U.S. Dollars:

\_\_\_\_\_

- b. Total dollar amount of currency exchange transactions involving *sales* of U.S. Dollars:

\$ \_\_\_\_\_

**13. Financial Statements:**

Attach financial statements (balance sheet and income statement) for the year ended **December 31, 2019** and submit with this report.

**14. Location Listing:**

Provide a listing of each location where currency exchange services are provided which includes the address, phone number and contact person of the location. **Attach an additional sheet, if necessary.**

<b>Location Name</b>	<b>Location Address</b>	<b>Telephone No.</b>	<b>Contact Person</b>

**Annual Report Acknowledgement**

I sign the foregoing Annual Report as a principal of the license holder, as defined under Section 151.002 of the Texas Finance Code, having full authority to sign such Annual Report in said capacity. I affirm I have read the Annual Report and all information contained therein is true and correct and no material fact has been omitted. I affirm that the license holder is familiar with and will abide by the provisions of Chapter 151 of the Texas Finance Code.

Annual Report Submitted by: \_\_\_\_\_  
(Printed Name) (Title)

\_\_\_\_\_  
(Signature) (Date)