



Charles G. Cooper
Commissioner

2023 YEAR-END ANNUAL REPORT FOR TEXAS CURRENCY EXCHANGE LICENSE HOLDERS

License Name: _____

License No.: _____

1. **List any Trade Names (assumed names, d/b/a, etc.) used by the license holder, if applicable:** _____

Provide a copy of the most current assumed name certificate(s) filed with the Secretary of State and/or County Clerk if not previously submitted to the Department.

Document Attached **Previously Provided**

2. **Principal Business Office:**

Street Address: _____

City and State: _____

Telephone Number: _____

3. **Address at which license holder keeps books and records:**

If same as question 2, check box and skip to question 4.

Street Address: _____

City and State: _____

Telephone Number: _____

4. **Primary Contact Person:**

Name: _____

Title: _____

Address: _____

Office phone: _____

Mobile phone: _____

E-Mail: _____

5. Secondary Contact Person:

Name: _____

Address: _____

Title: _____

Office phone: _____

Mobile phone: _____

E-Mail: _____

6. Key Individuals:

Identify all Key Individuals of the license holder as defined by Section 152.003 of the Texas Finance Code.

Name	Title	Date Appointed/Elected

7. Security

Provide the most recent security information. Submit a copy of the **most recent** surety bond, irrevocable letter of credit, or deposit in lieu of bond, if not previously submitted to the Department. Be sure to include most current change riders (if applicable).

Document Attached **Previously Provided**

Issuer: _____

Type: _____

Number: _____

Amount: _____

Expiration (if applicable): _____

8. Regulatory Actions

Has the license holder been subject to any regulatory and/or enforcement actions by any agency (including consent orders, fines and penalties paid, license suspension or revocation, etc.) since the previous Annual Report filing?

Yes No

If yes, separately provide a brief description and copies of applicable documents if not previously submitted to the Department.

Documents Attached Previously Provided Not applicable

9. Litigation

Has there been any litigation involving the license holder initiated since the previous Annual Report filing?

Yes No

If yes, separately provide a brief description and copies of applicable documents if not previously submitted to the Department.

Documents Attached Previously Provided Not applicable

10. Material Changes

Have there been any material changes to the license holder's ownership, principals, business plan, products, or services since the previous Annual Report filing. If yes, separately provide a brief description and copies of applicable documents.

Documents Attached Previously Provided Not applicable

11. Sales Volume:

Provide the sales volume of currency exchange business conducted from the period of **January 1, 2023 to December 31, 2023** for all locations, including non-traditional currency exchange, if applicable.

a. Number of currency exchange transactions involving *sales* of U.S. Dollars:

b. Total dollar amount of currency exchange transactions involving *sales* of U.S. Dollars:

\$ _____

12. Financial Statements:

Attach financial statements (balance sheet and income statement) for the year ended **December 31, 2023** and submit with this report.

13. Indicate the total number of locations in Texas: _____

Location Listing:

Provide a listing of each location where currency exchange services are provided which includes the address, phone number and contact person of the location. **Attach an additional sheet, if necessary.**

Location Name	Location Address	Telephone No.	Contact Person

Annual Report Acknowledgement

I sign the foregoing Annual Report as a Key Individual of the license holder, as defined under Section 152.003 of the Texas Finance Code, having full authority to sign such Annual Report in said capacity. I affirm I have read the Annual Report and all information contained therein is true and correct and no material fact has been omitted. I affirm that the license holder is familiar with and will abide by the provisions of Chapter 152 of the Texas Finance Code.

Annual Report Submitted by: _____
(Printed Name) (Title)

(Signature) (Date)