

**TEXAS DEPARTMENT OF BANKING  
REPORT OF PERMISSIBLE INVESTMENTS**

License No. \_\_\_\_\_

License Holder Name \_\_\_\_\_

Re: Texas Finance Code (TFC) Section 151.603(b)(2) and Texas Administrative Code (TAC) Section 33.23

The following is a report of permissible investments on hand as of **September 30, 2018**, covering outstanding money transmission obligations in the United States for which the license holder is liable for payment.

- A. TFC Section 151.308 Security (Surety Bond, Irrevocable Letter of Credit, or Deposit In Lieu of Bond) \$ \_\_\_\_\_
- B. TFC Section 151.309(b)(1) 40% of current receivables due from authorized delegates See TAC Section 33.23(c) \$ \_\_\_\_\_
- C. TFC Section 151.309(b)(2) Cash in Banks (including demand or interest bearing accounts, and certificates of deposit) \$ \_\_\_\_\_
- D. TFC Section 151.309(b)(3) Certificates of Deposit/debt obligations insured by an agency of the federal government \$ \_\_\_\_\_
- E. TFC Section 151.309(b)(4), (5), and (6) Bonds and obligations of or guaranteed by a state or the United States, and mutual funds \$ \_\_\_\_\_
- F. TFC Section 151.309(b)(7) Other Permissible Investments. See TAC Section 33.23(d) \$ \_\_\_\_\_
- G. TFC Section 151.309(c)(3) Bonds in states other than Texas. To receive credit for outstanding payment obligations covered by bonds in other states, attach Schedule B or similar listing. **(Do not include Texas in your list)** \$ \_\_\_\_\_
- H. Grand Total of Permissible Investments [A through G] \$ \_\_\_\_\_
- I. Average outstanding money transmission obligations in the United States only See TAC Section 33.23(b) and (e). Attach supporting documentation for amount shown. \$ \_\_\_\_\_
- J. Percentage of total permissible investments to outstanding money transmission obligations [H divided by I] \_\_\_\_\_ %
- K. Attach a copy of interim financial statement for period ending **September 30, 2018**.

Total Assets \$ \_\_\_\_\_

Net Worth \$ \_\_\_\_\_

**No. of active locations in Texas as of September 30, 2018:** \_\_\_\_\_

I certify that the statements and facts in this report represent true and correct information. I acknowledge that any untrue statement of material fact is a violation of TFC Chapter 151 and will subject me to the penalties contained therein.

Date Report Prepared \_\_\_\_\_

Signature \_\_\_\_\_

Print Name \_\_\_\_\_

Title \_\_\_\_\_

**NOTE:** This report must be prepared no later than **November 15, 2018**. Retain this report and all supporting documentation and attachments in your files. **DO NOT SUBMIT** this report to the Texas Department of Banking (Department) unless you are directed to do so by the Department.