

## **REGISTRATION STATEMENT AND FILING FOR A REPRESENTATIVE OFFICE(S) OF A FOREIGN BANK CORPORATION**

All information submitted to the Texas Department of Banking is presumed to be public information, unless it is deemed confidential under the Texas Open Records Act. Any document in the application for which you request confidential treatment must be segregated and reference the Texas Open Records Act exception supporting the request. Final determination as to the confidentiality of any information will rest with the Banking Commissioner. Inquiries concerning the preparation and filing of this or any other application with the Department should be directed to the Corporate Activities Division of the Texas Department of Banking, 2601 North Lamar Boulevard, Austin, Texas 78705-4294, (512/475-1322). A non-refundable check made payable to the Texas Department of Banking for the applicable filing fee prescribed in Title 7 of the Texas Administrative Code §15.2 should be included.

The following information is required as part of the registration filing and should be made concurrently with any required filing with the Board of Governors of the Federal Reserve System (Board of Governors).

***Please address the following as exhibits to the application.***

1. A copy of the foreign bank's notice or application submitted to the Board of Governors under Section 10, International Banking Act (12 U.S.C. Section 3107).
2. A copy, when issued, of the order or notification from the Board of Governors indicating that the representative office has been approved.
3. Name of the foreign bank corporation, address, and phone number of the principal office in the country of domicile of the foreign bank corporation.
4. Duly authenticated copy of its certificate of formation and bylaws or other constitutive documents, and if the copy is in a language other than English, an attached English translation of the document, under the oath of the translator.
5. The street and post office address, city and county, and phone number where each representative office is to be located in this state.
6. The name, title, and qualifications of each officer and director of the foreign bank corporation who will have charge of any aspect of the business and affairs of the representative office.
7. A detailed financial statement of the foreign bank corporation as of a date not more than 360 days before the date of the filing.
8. Provide a copy of the filed document from the Texas Secretary of State evidencing compliance with Section 201.102 of the Texas Finance Code.
9. A list of those activities in which the foreign bank corporation plans to engage.
10. A list of other states in which the foreign bank corporation operates and in what capacity (i.e. representative office, agency, branch).

11. Date upon which the foreign bank corporation plans to commence business at the representative office.
12. Name, title, address, and telephone number of the person to serve as regulatory contact.

I certify that the preceding application and the attached supporting documents are true and correct to the best of my knowledge.

\_\_\_\_\_

*(Foreign Bank Corporation)*

\_\_\_\_\_

*(Name)*

\_\_\_\_\_

*(Title)*

Dated: \_\_\_\_\_

**ACKNOWLEDGEMENT**

City of \_\_\_\_\_, SS.: \_\_\_\_\_

Country of \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_, to me known, who being by me duly sworn, deposes and says that he/she resides at \_\_\_\_\_; that he/she is \_\_\_\_\_ of \_\_\_\_\_, the foreign bank corporation described in the foregoing instrument; and that he/she executed the foregoing instrument on behalf of such corporation with due authorization of the Board of Directors of such corporation and as the act of such corporation and in his/her official capacity as \_\_\_\_\_ for such corporation.

[L.S.]

\_\_\_\_\_  
Title of Acknowledging Officer: \_\_\_\_\_

Note: This acknowledgement may be made outside of the United States and its territories by a minister, a commissioner, a charge d'affairs, a consul-general, a consul, a vice-consul, a commercial agent, a vice-commercial agent, a deputy consul or a consular agent of the United States, resident and accredited in the country where the acknowledgement is made. The seal of his/her office or the seal of the consulate or legation to which he/she is attached should be affixed.