

# Background Check Self-Certification Form

## For Money Services Business

I certify on behalf of the license holder named below that adequate background check procedures have been performed regarding \_\_\_\_\_ (Key Individual) to determine that he or she meets the qualifications required by Texas Finance Code (TFC) Section 152.106. Specifically, TFC Section 152.106 requires that Key Individuals and persons in control of a license holder must meet certain requirements.

Accordingly, I acknowledge that failure of the Key Individual named above to meet any of these requirements may have consequences with respect to our license. Therefore I certify the background check positively determined that:

- Key Individual is not on the specifically designated nationals and blocked persons list maintained by the United States Department of the Treasury;
- Key Individual has demonstrated good financial responsibility and is in good financial condition;
- Key Individual's financial and business experience are appropriate for his or her position;
- Key Individual does not owe any fees or assessments to the Texas Department of Banking or to any other federal or state agency; and
- Key Individual has not been convicted within the last ten years of a felony criminal offense under state or federal law, or of a similar offense under the laws of a foreign country.

Additionally, Key Individual certifies that:

- Key Individual has never engaged in fraud, knowing misrepresentation, deceit, or gross negligence in connection with the operation of a money services business;
- Key Individual has never breached a trust or a fiduciary duty;
- Key Individual is familiar with and agrees to fully comply with all applicable state and federal laws and regulations, specifically including TFC Chapters 152 and 271, the Bank Secrecy Act, and the USA PATRIOT Act;
- Key Individual has never knowingly failed to file a report required by these statutes; and
- Key Individual has never knowingly accepted money for transmission or exchange which was derived from illegal activity.

\_\_\_\_\_  
Name of License Holder

\_\_\_\_\_  
Name & Title of Executive Officer

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Key Individual

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date